



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/026,937	12/21/2001	Keith D. Allen	R-632 CIP	7301

7590 11/07/2003  
DELTAGEN, INC.  
740 Bay Road  
Redwood City, CA 94063

EXAMINER

WILSON, MICHAEL C

ART UNIT PAPER NUMBER

1632

DATE MAILED: 11/07/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

### Office Action Summary

Application No.

10/026,937

Applicant(s)

ALLEN ET AL.

Examiner

Michael C. Wilson

Art Unit

1632

-- The MAILING DATE of this communication appears on the cover sheet with the corresponding address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 15 August 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-40 is/are pending in the application.
- 4a) Of the above claim(s) 1,2,10-13,23-33 and 38-40 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 3-9,14-22 and 34-37 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

#### Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

#### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 6-10-02. 6) ☐ Other: \_\_\_\_\_

### **DETAILED ACTION**

The amendment to the description of Fig. 2A-2B has been entered. However, the description remains unclear. The description should include the fact that Fig. 2A-2B shows the FPR-RS4 gene (SEQ ID NO:1). Correction is required.

### ***Election/Restrictions***

Applicant's election without traverse of Group II, claims 3-9, 14-22 and 34-37 is acknowledged.

Claims 1, 2, 10-13, 23-33 and 38-40 have been withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected inventions, there being no allowable generic or linking claim.

### ***Claim Objections***

Claim 9 is objected to because it is dependent upon claim 1 which is not under consideration.

### ***Claim Rejections - 35 USC § 101***

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 3-9, 14-22 and 34-37 are rejected under 35 U.S.C. 101 because the claimed invention is not supported by either a specific or substantial asserted utility or a well-established utility.

Claims 6, 7, 14-21 and 34-37 are directed toward a transgenic animal having a disruption of an FPR-RS4 gene. The specification teaches making FPR-RS4  $-/-$  mice

(pg 53). The specification suggests using the mice as a model of disease, specifically as a model for behavioral, neurological, psychoneurological, psychotic phenotypes, and increased pain threshold (pg 20-22; pg 22, lines 17-21). However, the specification does not disclose one specific behavioral, neurological, neuropsychological or psychotic disease or disease related to increased pain threshold in humans linked to a disruption in FPR-RS4. The homozygous mice were tested in an "open field test" (pg 57), "rotarod test" (pg 58) and "metrazol test" (pg 58). FPR-RS4  $-/-$  mice spent less time in the open field, decreased time on the rod in the "rotarod test" and required increased metrazol to induce a seizure. However, the results of the tests do not correlate to a useful phenotype because the tests are not specific to a disease linked to a disruption in an FPR-RS4 gene. The results of the tests are also not statistically significant because the number of mice tested is not disclosed. The mice claimed cannot be used to determine compounds that modulate FPR-RS4 expression (e.g. claim 10, not under consideration) because FPR-RS4 is not expressed in the mice. Using the mice to determining whether a particular phenotype is ameliorated is not a specific or substantial utility because the specification does not link the phenotype to any specific disease or to a disease caused by a disruption in humans. The specification does not identify any compounds that alter neurological, neuropsychological, or psychotic phenotypes using the mice. Thus, the specification does not provide a specific or substantial use for a mouse as claimed, specifically having increased anxiety, "decreased time spent in a central region of an open field test", impaired motor coordination or balance or ataxia, decreased performance on an accelerating rotarod, decreased susceptibility to seizures (claims 15-

Art Unit: 1632

21). The specification does not provide any use for the mouse claimed having a heart abnormality (claims 34-37).

Claim 9 is included because it is directed toward making the mouse, which lacks utility for reasons above. Claims 3-5, 8 and 22 are directed toward cells having a disruption of an FPR-RS4 gene or a cell derived from the transgenic animal, and are included because the cells lack a specific and substantial utility for the reasons above and because the specification does not teach how to use the cells other than when they are part of a mouse that is a model of disease.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 3-9, 14-22 and 34-37 are also rejected under 35 U.S.C. 112, first paragraph. Specifically, since the claimed invention is not supported by either a specific or substantial asserted utility or a well established utility for the reasons set forth above, one skilled in the art clearly would not know how to use mice having abnormal pain threshold.

The specification does not teach how to make animals or cells having a disruption in an FPR-RS4 gene other than mice (claims 3-5, 8 and 22). Specifically, claims 4-5 encompass mice and rat cells. "Murine" encompasses mice and rats

(<http://www.m-w.com/cgi-bin/dictionary?book=Dictionary&va=murine>). The only means of making a cell with a disruption in an FPR-RS4 gene taught in the specification is by using mouse embryonic stem cell technology. The state of the art at the time of filing was such that embryonic stem (ES) cell technology had only been successful in mice. Wagner (May 1995, Clin. and Experimental Hypertension, Vol. 17, pages 593-605) and Mullins (1996, J. Clin. Invest., Vol. 98, pages S37-S40) taught germline transmission of ES cells has not been demonstrated in species other than mice and the growth of ES cells from species other than mice is unreliable. Wall (1996, Theriogenology, Vol. 45, pg 57-68) taught transgene expression and the physiological result of such expression in livestock was not always accurately predicted in transgenic mice (page 62, line 7). The specification fails to provide sufficient guidance to make transgenics other than mice by teaching obtaining ES cells in species other than mice. The specification does not teach the nucleic acid sequence of an FPR-RS4 gene in non-mice, non-human species or correlate the FPR-RS4 gene in mice to the FPR-RS4 gene in other species. The specification does not teach how to make knockout animals other than mice or correlate making knockout mice to other species. Therefore, the specification does not provide adequate guidance for one of skill in the art to make a transgenic, non-human animal or cells having a disruption in an FPR-RS4 gene in any species other than mice.

The specification does not provide adequate correlation between the phenotype obtained in mice to the phenotype obtained in other species. The state of the art at the time of filing was that the phenotype of transgenic mice does not predict the phenotype in non-mice species. Models of human diseases have relied on transgenic rats when

the development of transgenic mice having the desired phenotype was not feasible.

Mullins (1990, Nature, Vol. 344, pg 541-544) produced outbred Sprague-Dawley x WKY rats with hypertension caused by expression of a mouse Ren-2 renin transgene.

Hammer (1990, Cell, Vol. 63, pg 1099-1112) describes spontaneous inflammatory disease in inbred Fischer and Lewis rats expressing human class I major

histocompatibility allele HLA-B27 and human b<sub>2</sub>-microglobulin transgenes. Both

investigations were preceded by the failure to develop human disease-like symptoms in transgenic mice (Mullins, 1989, EMBO, Vol. 8, pg 4065-4072; Taurog, 1988, J.

Immunol., Vol. 141, pg 4020-4023) expressing the same transgenes that successfully caused the desired symptoms in transgenic rats. Therefore, the specification does not enable making transgenic having the disclosed phenotypes in species other than mice.

In addition, claims 14-21 and 34-37 do not provide a nexus between the disruption in FPR-RS4 and the lack of production of FPR-RS4 or the phenotypes claimed. The claims do not recite the disruption of FPR-RS4 causes the phenotype claimed. The specification does not teach disrupting the FPR-RS4 gene in mice already lacking production of FPR-RS4 or in mice already having abnormal pain thresholds. Given the art of transgenics at the time of filing taken with the guidance provided in the specification, the claim should reflect the fact that mice having abnormal pain threshold is a result of FPR-RS4 disruption. Otherwise, it would require one of skill undue experimentation to make the mouse as broadly claimed.

The specification does not enable making or using a transgenic with a wild-type phenotype as encompassed by the claims. The transgenics of claims 6, 7, 9 and 14 do

not recite any phenotype and may, therefore, have any phenotype including wild-type phenotype. The specification does not provide any use for a transgenic having a disruption in an FPR-RS4 gene that has a wild-type phenotype. The only disclosed phenotype for the transgenic claimed is one that correlates to a mutation in an FPR-RS4 gene. Therefore, the claims should recite a non-wild-type phenotype that correlates to a disruption in an FPR-RS4 gene.

Claim 9 is directed toward a method of making a transgenic mouse having a disruption in FPR-RS4 using a mouse ES cell having a disruption in an endogenous FPR-RS4 gene, introducing the cell into a mouse blastocyst, implanting the blastocyst into a pseudopregnant mouse which gives birth to chimeric mice, and breeding the chimeric mouse to produce the transgenic mouse. The claim does not require using mouse cells or an embryonic stem cell, which are considered essential to the invention. A mouse ES cell is the only type of cell taught in the specification that can be introduced into a blastocyst and result in a chimeric mouse as claimed. The claim does not require the mouse have a non-wild type phenotype, which is required for reasons cited above. Given the unpredictability in the art taken with the guidance provided in the specification, the cell in a) should be a mouse ES cell, the blastocyst in b) should be a mouse blastocyst, and the transgenic mouse produced should have a genome comprising a homozygous disruption in an FPR-RS4 gene, wherein said mouse lacks functional FPR-RS4 protein and has a disclosed phenotype.

The following is a quotation of the second paragraph of 35 U.S.C. 112:



Art Unit: 1632

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 3-9, 14-22 and 34-37 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The metes and bounds of what applicants consider "FPR-RS4" genes cannot be determined. The specification defines the term as any gene of SEQ ID NO:1 or having homology to SEQ ID NO:1 (pg 9, lines 1-4). However, not all genes sharing homology with SEQ ID NO:1 are GPRC5-like genes. For example, FPR-RS1, FPR-RS2 and FPR-RS3 genes share homology with SEQ ID NO:1, but are not FPR-RS4 genes.

Claims 14-21 and 34-37 are indefinite because they do not clearly set forth that the disruption in FPR-RS4 causes the lack of production of FPR-RS4 or the phenotype claimed.

The metes and bounds of what applicants consider "significant" expression (claim 14) cannot be determined.

The metes and bounds of what applicants consider "seizure-like" responses (claim 21) cannot be determined. The term is relative and is not defined in the specification.

The metes and bounds of what applicants consider a heart "abnormality" (claim 34) cannot be determined. The term is relative and is not defined in the specification.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 3-9, 14 and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gao (1999, J. Exp Med, Vol. 189, pg 657-662) in view of Gao (1998, Genomics, Vol. 51, pg 270-276).

Gao (1999) taught making a mouse having a disruption in an LPR gene. Gao did not teach disrupting the LPR-SR4 gene.

However, Gao (1998) taught the nucleic acid sequence of the mouse LPR-SR4 gene (pg 270, AF071182; Fig. 1).

Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to make a transgenic mouse having a disruption in an LPR as taught by Gao (1999) wherein the LPR gene was LPR-SR4 as taught by Gao (1998). One of ordinary skill in the art at the time the invention was made would have been motivated to disrupt the LPR-SR4 instead of the LPR-SR1 gene to determine the function of LPR-SR4 *in vivo*.

Thus, Applicants' claimed invention, as a whole is *prima facie* obvious in the absence of evidence to the contrary.

***Conclusion***

No claim is allowed.

Inquiry concerning this communication or earlier communications from the examiner should be directed to Michael C. Wilson who can normally be reached on Monday through Friday from 9:00 am to 5:30 pm at (703) 305-0120.

Questions of a general nature relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-1235.

If attempts to reach the examiner, patent analyst or Group receptionist are unsuccessful, the examiner's supervisor, Deborah Reynolds, can be reached on (703) 305-4051.

The official fax number for this Group is (703) 872-9306.

Michael C. Wilson



**MICHAEL WILSON  
PRIMARY EXAMINER**